



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 5039

SERIAL NUMBER 10/029,731	FILING OR 371(c) DATE 12/21/2001 RULE	CLASS 705	GROUP ART UNIT 3628	ATTORNEY DOCKET NO. 61109-0003
APPLICANTS Jeffrey G. Tarrant, New York, NY;				
** CONTINUING DATA ***** This appln claims benefit of 60/257,683 12/22/2000				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY ** ** 01/25/2002				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance		STATE OR COUNTRY NY	SHEETS DRAWING 6	TOTAL CLAIMS 14
Verified and Acknowledged Examiner's Signature _____ Initials _____	INDEPENDENT CLAIMS 4			
ADDRESS 009629				
TITLE Method and system for sharing investor information over an electronic network				
FILING FEE RECEIVED 477	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	